

# Agenda



**AGENDA for a meeting of the AUDIT COMMITTEE in COMMITTEE ROOM B at  
County Hall, Hertford on MONDAY, 11 SEPTEMBER 2017 at 10.15.AM**

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## **MEMBERS OF THE COMMITTEE (10)** (Quorum 3)

P Bibby, S N Bloxham, F Button (*Chairman*), J M Graham, C K Hogg, A K Khan, A J S Mitchell, T J Williams, W J Wyatt-Lowe (*Vice-Chairman*), P M Zukowskyj,

Meetings of the Committee are open to the public (this includes the press) and attendance is welcomed. However, there may be occasions when the public are excluded from the meeting for particular items of business. Any such items are taken at the end of the public part of the meeting and are listed under "Part II ('closed') agenda".

Committee Room B fitted with an audio system to assist those with hearing impairment. Anyone who wishes to use this should contact main (front) reception.

## **PART I (PUBLIC) AGENDA**

### **1. MINUTES**

To confirm the minutes of the meeting held on 7 July 2017.

### **2. AUDIT RESULTS REPORT 2016/17 – COUNTY COUNCIL**

Report of Ernst & Young

### **3. RESPONSE TO THE AUDIT RESULTS REPORT – HERTFORDSHIRE COUNTY COUNCIL (INCLUDING FIRE FIGHTERS' PENSION FUND) FINANCIAL STATEMENTS**

Report of the Director of Resources

### **4. ANNUAL STATEMENT OF ACCOUNTS – HERTFORDSHIRE COUNTY COUNCIL (INCLUDING FIRE FIGHTERS' PENSION FUND) FINANCIAL STATEMENTS**

Report of the Director of Resources

### **5. AUDIT RESULTS REPORT 2016/17– HERTFORDSHIRE PENSION FUND**

Report of Ernst & Young

**THIS MEETING WILL BE PRECEDED AT 9.30 AM BY MEMBER TRAINING ON  
THE 2016/17 AUDITED ACCOUNTS**

**6. RESPONSE TO THE AUDIT RESULTS REPORT 2016/17 – PENSION FUND**

Report of the Assistant Director – Finance

**7. RISK MANAGEMENT UPDATE**

Report of the Head of Assurance

**8. INTERNAL AUDIT PROGRESS REPORT**

Report of the Head of Assurance Services

**9. SHARED INTERNAL AUDIT SERVICE ANNUAL REPORT**

Report of the Head of Assurance Services

**10. RISK FOCUS REPORT – PREVENT - Radicalisation**

Report of the Director of Community Protection and Chief Fire Officer

**11. FUTURE WORK PROGRAMME**

The Committee is invited to agree its future rolling work programme, suggested as follows:-

<b>Friday, 1 December 2017: 10.00am</b>	<ul style="list-style-type: none"> <li>• Annual Audit Letter – HCC 2016/17</li> <li>• Response to HCC Audit Results Report and preparation for 17/18 accounts</li> <li>• Mid-Year Report on the Treasury Management Service and Prudential Indicators 2017/18</li> <li>• Risk Management Update</li> <li>• Risk Focus Report</li> <li>• Internal Audit Progress Report</li> <li>• SAFS Progress Report on 2017/18 Plan</li> <li>• HFRS Statement of Assurance 2016/17</li> </ul>
<b>Monday, 26 March 2018: 10.00am</b>	<ul style="list-style-type: none"> <li>• Preparation for 2017/18 Accounts</li> <li>• Audit Plan 2018/19 – County Council</li> <li>• Audit Plan 2018/19 – Pension Fund</li> <li>• Management Oversight Letters of Representation for HCC (including Firefighters' Pension Fund) and Herts LGPS Accounts 2017/18</li> <li>• Risk Management Annual Report 2016/17</li> <li>• Risk Focus Report</li> <li>• Internal Audit Progress Report</li> <li>• Internal Audit Plan 2018/19</li> <li>• SAFS HCC Anti-Fraud Action Plan 2018/19</li> <li>• SAFS Annual Report</li> </ul>

**THIS MEETING WILL BE PRECEDED AT 9.30 AM BY MEMBER TRAINING ON  
THE 2016/17 AUDITED ACCOUNTS**

<b>Wednesday, 25 July 2018: 10.00am</b>	<ul style="list-style-type: none"><li>• Internal Audit Progress Report</li><li>• Risk Management Update Report</li><li>• Risk Focus Report</li><li>• HCC Audit Results Report 2017/18</li><li>• Response To The Audit Results Report – HCC (Including Fire Fighters’ Pension Fund) Financial Statements</li><li>• Annual Statement Of Accounts 2017/18– HCC (Including Fire Fighters’ Pension Fund) Financial Statements</li><li>• Audit Results Report 2017/18 – HCC Pension Fund</li><li>• Response To The Audit Results Report 2017/18 – HCC Pension Fund</li><li>• Annual Governance Statement 2017/18 and Code of Corporate Governance</li><li>• Annual Assurance Statement and Internal Audit Annual Report 2017/18</li><li>• End of Year Report on the Treasury Management Service and Prudential Indicators 2017/18</li><li>• Whistle Blowing Annual Report 2017/18</li></ul>
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**EXCLUSION OF PRESS AND PUBLIC**

The Chairman will move:-

*“That under Section 100(A)(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in paragraph 7 of Part 1 of Schedule 12A to the said Act and the public interest in maintaining the exemption outweighs the public interest in disclosing the information.”*

**PART II (‘CLOSED’) AGENDA**

**1. RISK FOCUS REPORT – PREVENT – Radicalisation - PART II elements**

Report of the Director of Community Protection and Chief Fire Officer

**If you require further information about this agenda please contact Theresa Baker, Democratic Services Officer, on telephone no (01992) 556545 or by e-mail to [theresa.baker@hertfordshire.gov.uk](mailto:theresa.baker@hertfordshire.gov.uk)**

Agenda documents are also available on the internet at:

<https://cmis.hertfordshire.gov.uk/hertfordshire/Calendarofcouncilmeetings.aspx>